



Docket No.: 50395-125

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kenichi KURISU

Serial No.: 09/993,223

Group Art Unit: 1765

Filed: November 26, 2001

Examiner: not yet assigned

For: ETCHING METHOD FOR ZnSe POLYCRYSTALLINE SUBSTRATE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Total Claims list on the filing receipt is incorrect. Attached is a copy of the Transmittal Letter, which evidences that the total claims should read as:

12

It is requested that a corrected filing receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

Arthur J. Steiner  
Registration No. 26,106

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 AJS:jgh  
**Date: December 29, 2001**  
Facsimile: (202) 756-8087



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20503  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/993,223	11/26/2001	1765	1020	50395-125	9	6	2

McDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096



CONFIRMATION NO. 6863

## FILING RECEIPT



\*OC000000007154361\*

Date Mailed: 12/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kenichi Kurisu, Osaka, JAPAN; ✓

## Assignment For Published Patent Application

Sumitomo Electric Industries, Ltd.;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 397640/2000 12/27/2000 ✓

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

## Title

Etching method for ZnSe polycrystalline substrate

## Preliminary Class

438

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

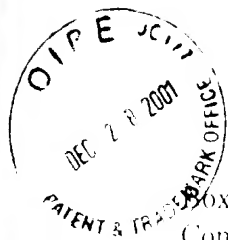
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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



# UTILITY PATENT APPLICATION UNDER 37 CFR 1.53(b)

Box PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR: Kenichi KURISU

FOR: ETCHING METHOD FOR ZnSe POLYCRYSTALLINE SUBSTRATE

Enclosed are:

- ☒ 11 pages of specification, claims, abstract.
- ☒ Declaration and Power of Attorney.
- ☒ Priority Claimed.
- ☒ Certified copy of Japanese Patent Application Number 2000-397640
- ☒ 9 sheets of formal drawing.
- ☒ An assignment of the invention to Sumitomo Electric Industries, Ltd.  
and the assignment recordation fee.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Information Disclosure Statement, Form PTO-1449 and reference.
- ☒ Return Receipt Postcard

The filing fee has been calculated as shown below:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	12	-20	0	\$18.00	\$0.00
Independent Claims	2	-3	0	\$84.00	\$0.00
Multiple Dependent Claim(s)					\$280.00
Basic Fee					\$740.00
Total of Above Calculations					\$1,020.00
Less 1/2 for Small Entity					\$0.00
Assignment & Recording Fee					\$40.00
<b>Total Fee</b>					<b>\$1,060.00</b>



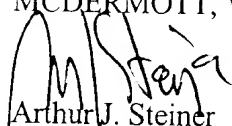
20277

PATENT TRADEMARK OFFICE

- ☒ Please charge my Deposit Account No. 500417 in the amount of \$1,060.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500417. A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



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COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20503  
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Bib Data Sheet

CONFIRMATION NO. 6863

<b>SERIAL NUMBER</b> 09/993.223	<b>FILING DATE</b> 11/26/2001 <b>RULE</b>	<b>CLASS</b> 438	<b>GROUP ART UNIT</b> 1765	<b>ATTORNEY DOCKET NO.</b> 50395-125
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**APPLICANTS**

Kenichi Kurisu, Osaka, JAPAN;

**\*\* CONTINUING DATA \*\*\*\*\*****\*\* FOREIGN APPLICATIONS \*\*\*\*\***

JAPAN 397640/2000 12/27/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 9	<b>TOTAL CLAIMS</b> 6	<b>INDEPENDENT CLAIMS</b> 2
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____	Initials _____		

**ADDRESS**

McDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096

**TITLE**

Etching method for ZnSe polycrystalline substrate

<b>FILING FEE RECEIVED</b> 1020	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit